



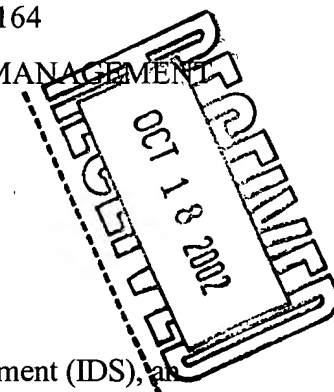
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Atty Docket No. 3271/50

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : BAUGHMAN et al.
Application No. : 09/972,736 Examiner: To be assigned
Filed : 10/5/2001 Group Art Unit: 2164
Title : INTEGRATED INVESTMENT PORTFOLIO MANAGEMENT
SYSTEM AND METHOD

Commissioner for Patents
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

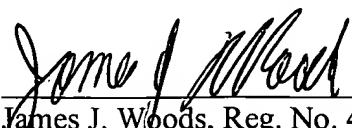
Applicant respectfully submits herewith an Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

This IDS is being filed before the mailing date of a first office action on the merits and thus no fee is due. In the event that a fee is determined to be due, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 02-4270.

I hereby certify that this paper, together with any document referred to as attached or enclosed, is being deposited this date with the U.S. Postal Service with sufficient postage as First Class Mail addressed to: Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

Dated: 10/4/02


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INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 3271/50	Application Serial No.: 09/972,736
	Applicants: BAUGHMAN et al.	
	Filing Date: October 5, 2001	Group Art Unit: 2164

U.S. PATENT DOCUMENTS

Exam. Init		Document Number	Date	Name	Class	Subclass
		6,085,174	7/4/2000	Edelman		
		6,078,904	6/20/2000	Rebane		
		6,055,517	4/25/2000	Friend et al.		
		6,018,722	4/25/2000	Ray et al.		
		6,012,044	1/4/2000	Maggioncalda et al.		
		5,918,217	6/29/1999	Maggioncalda et al.		
		5,911,136	6/8/1999	Atkins		
		5,875,437	2/23/1999	Atkins		
		5,864,828	1/26/1999	Atkins		
		5,819,238	10/6/1998	Fernholz		
		5,644, 727	7/1/1997	Atkins		

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FOREIGN PATENT DOCUMENTS

Exam. Init.		Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Examiner:		Date Considered: